Preliminary Amendment US Patent Appln 10/526,715

Amendments to the Specification:

Please replace the paragraph at lines 1-3 of page 1, which is the TITLE, with the following

amended paragraph for the TITLE:

Biometric acoustic writing system, and a method for personal identification and handwriting

identification by means of biometric data BIOMETRIC ACOUSTIC WRITING SYSTEM AND

METHOD FOR IDENTIFYING INDIVIDUALS AND RECOGNIZING HANDWRITING BY

**USING BIOMETRIC DATA** 

Please replace the paragraph from line 37 of page 7 through line 2 of page 8 with the following

amended paragraph:

In one preferred embodiment of the biometric writing system according to the invention, the

microphone is arranged in an airborne air bearing sound chamber which is provided in the pen

housing.

Please replace the paragraph at lines 4 and 5 of page 8 with the following amended paragraph:

The airborne air bearing sound chamber is preferably in the form of a resonator for specific natural

frequencies.

Please replace the paragraph at lines 12-14 of page 8 with the following amended paragraph:

The airborne air bearing sound chamber can be coupled to the surrounding air via a housing opening

which is provided in the pen housing.

Please replace the paragraph at lines 25-38 of page 22 and lines 1-20 of page 23 with the following

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amended paragraph:

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As is illustrated in Figure 1, the microphone 5 is located in an airborne air bearing sound chamber 7 which is provided in the pen housing 3. The airborne air bearing sound chamber 7 is preferably in the form of a resonator for specific natural frequencies. The microphone 5 is surrounded by sound insulation 8a, 8b, which is provided in order to attenuate surrounding noises and passes only sound signals from the sound body 6 and the airborne air bearing sound chamber 7. The airborne air bearing sound chamber 7 can be coupled to the surrounding air via a housing opening which is provided in the pen housing 3. In this case, the housing opening can preferably be closed by means of a mechanical closure device 9, in order to suppress external noises. When the housing opening is open, the microphone 5 records the internal and external writing noise which is caused by the hand-guided writing movement, as a structure-borne and airborne sound signal, as well as a speech signal which originates from a person. The microphone 5 converts the recorded acoustic sound signals to an electrical sound signal. The electrical sound signal is converted by an analogue/digital converter to sound signal data for digital data processing. The digital signal data is supplied via a signal line 10 to a data processing unit 11 for further digital processing. The writing noise which is produced by writing and the speech signal which may additionally be received from the person are recorded either simultaneously or successively by the microphone 5, and are converted to corresponding sound signal data. The sound signal data which is supplied to the data processing unit 11 is preferably stored in a memory device 12 which is provided for this purpose and is connected to the data processing unit 11 via lines 13.

Please replace the paragraph from line 21 of page 29 through line 6 of page 30 with the following amended paragraph:

An acoustic writing signal or a writing noise is produced during writing with or movement of the pen 2 on the substrate 4. The pen 2 may be in the form of a ball-point pen refill, an ink knib or a wad with a defined tip. The substrate 4 is composed, for example, of paper or of a surface with a natural or additionally pronounced surface roughness and hardness. The surface roughness of the substrate 4 leads, while writing with the pen 2, to statistically stimulated, forced oscillations of the substrate and of the pen, that is to say statistical writing scratching noises and scrapping noises are produced from this. Depending on the design of the writing appliance and of the substrates, airborne sound loads and/or structure-borne sound loads are produced, from this and are transmitted to the microphone 5 within the pen housing 3. The pen 2 together with the housing 3 in the form of a sound body, as well

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as the <u>airborne</u> <u>air bearing</u> sound chamber 7 in the pen housing 3, can be designed optimally on the basis of acoustic laws. The sound body 6 and the <u>airborne</u> <u>air bearing</u> sound area 7 are preferably defined as resonators at specific frequencies. In this case, frequencies are preferably used which have the greatest dynamic range in terms of amplitude changes while writing.

Please replace the paragraph from line 8 of page 30 through line 1 of page 31 with the following amended paragraph:

While writing with the pen 2, the microphone 5 emits a sound time signal which is significantly above the noise level and whose sound intensity depends on the writing speed, while a very small proportion of it is dependent on the different roughness of the writing substrate and on the different mean contact pressure of the pen refill 2. Sound intensity of the recorded sound time signal increases with the writing speed. If the writing speed is the same, the intensity differences between different writing paper surfaces are negligible. The frequency spectrum of the recorded time signal comprises a continuous noise spectrum, with significant characteristic frequency lines superimposed on it. The frequency lines occur mainly at frequencies below 2 kHz. The amplitudes of these frequency lines increase significantly with the writing speed, at the same frequency. The amplitudes of the frequency lines increase to a minor extent as the surface roughness of the writing substrate 4 increases. In this case, the frequencies remain unchanged. For the same writing speed, the amplitudes are virtually independent of the writing substrate 4 that is used. The microphone 5, which attenuates airborne sound, is insensitive to sound events in the surrounding area. If the pen housing 3 is completely closed, the airborne sound chamber 7 has no connection to the surrounding air. If the airborne air bearing sound chamber 7 is closed, environmental noises, in particular spoken words or motor noises, have no influence on the recorded sound time signal while writing. In this case, the microphone 5 recorded only the structure-borne sound that is produced via the pen refill.

Please replace the paragraph from line 1 of page 45 through line 14 of page 46 with the following amended paragraph:

List of reference symbols

1 Biometric acoustic writing system

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2	Pen
3	Pen housing
4	Substrate
5a, 5b	Microphones
6	Sound body
7	Airborne Air bearing sound chamber
8, 8a, 8b	Sound insulation
9	Closure device
10	Signal line
11	Date processing unit
12	Memory unit
13	Lines
14	Optical sensor device
15	Signal lines
16	Signal lines
17	Scrambling unit
18	Data transmission path
19	Data processing unit
20	Local computer
21	Memory
22	Display device
23	Line
24	Line
25	Line
26	Reading unit
27	Control line
28	Actuator
29	Line
30	Data network
31	Database
32	Loudspeaker
33	Second optical sensor device
34	Pressure sensor device
35	Line

36	Acoustic feedback devices
37	Rechargeable battery
38	Spring
39	Fingerprint sensor
40	Inclination sensor
41	Cord
42	Spring steel holder
43	Pen refill holder
44	LED
45	Photodetector
46	Lens
47	Beam driver
48	First optical sensor device
49	Body
50	Four-quadrant photodetector

Please delete the paragraph from line 1 of page 47 through line 14 of page 48 as follows:

## List of reference symbols

<del> </del>	Biometric acoustic writing system
2	<del>-Pen</del>
3	Pen housing
4	Substrate
5a, 5b	-Microphones
6	Sound body
7	Airborne air bearing-sound chamber
8 <del>, 8a, 8b</del>	Sound insulation
9	Closure device
10	Signal line
11	Date processing unit
12	Memory unit
13	-Lines

14	Optical sensor device
15	-Signal-lines
16	
17	Scrambling unit
18	Data transmission path
19	Data processing unit
20	Local computer
21	Memory
22	Display device
23	-Line
24	-Line
25	Line
26	Reading unit
27	Control line
28	-Actuator
29	Line
30	Data network
31	-Database
32	-Loudspeaker
33	Second optical sensor device
34	Pressure sensor device
35	-Line
36	Acoustic feedback devices
37	Rechargeable battery
38	<del>-Spring</del>
39	Fingerprint sensor
40	-Inclination sensor
41	-Cord
42	Spring steel-holder
43	-Pen refill holder
44	<del>LED</del>
45	Photodetector
46	-Lens
47	Beam-driver

48	First optical sensor device
49	Body
50	Four-quadrant-photodetector

Please replace the paragraph at line 1 of page 49 with the following amended paragraph:

Patent Claims